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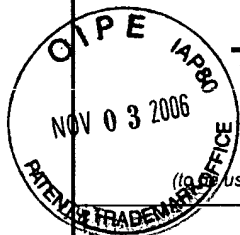
11-06-06 IFW 1652

PTO/SB/21 (05-03)

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# TRANSMITTAL FORM

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Total Number of Pages in This Submission

15 pages

Application Number

10/040,315

Filing Date

October 29, 2001

First Named Inventor

FARESE JR., ROBERT V.

Group Art Unit

1652

Examiner Name

HUTSON, RICHARD G.

Attorney Docket Number

UCAL-105CIP2

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment / Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Documents
- ☐ Response to Missing Parts/  
Incomplete Application
- ☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers  
(for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a  
Provisional Application
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- ☐ After Allowance Communication  
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(Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please  
identify below):

Form PTO/SB/08a  
3 References  
EP Examination Report  
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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Signing Attorney/Agent  
(Reg. No.)

PAULA A. BORDEN, 42,344  
BOZICEVIC, FIELD & FRANCIS, LLP

Signature


Date

November 3, 2006

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<b>INFORMATION DISCLOSURE STATEMENT</b>    Address to: Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket	UCAL-105CIP2
	First Named Inventor	FARESE JR., ROBERT V.
	Application Number	10/040,315
	Filing Date	October 29, 2001
	Group Art Unit	1652
	Examiner Name	HUTSON, RICHARD G
	Title:	"DIACYLGLYCEROL O-ACYLTRANSFERASE"

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. This is being filed *after* the mailing date of the first Office Action on the merits, whichever event occurred last, but *before* the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

A Form PTO-SB/08A listing the references accompanies this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

— All of the references identified herein are being submitted prior to the final determination on patentability by the Office.

XX All of the references identified herein were cited in an International (EP) Examination Report dated August 4, 2006, in an EP application corresponding to the above-identified U.S. application. A copy of the Examination Report, including an indication of the purported relevance of the cited documents, is enclosed herewith. Copies of the references are submitted herewith.

— All of the references identified herein were disclosed in parent application Serial No. 09/339,472 and, as such, copies thereof are not included pursuant to the provisions of 37 C.F.R. §1.98(d), except if noted below.

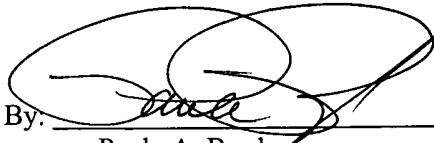
This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

No fee is believed due as this statement is being submitted within three months of the mailing date of the enclosed foreign communication. However, if it is determined that fees are required, the Commissioner is hereby authorized to charge any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 50-0815, Order No. UCAL-105CIP2.

Respectfully submitted,

BOZICEVIC, FIELD & FRANCIS LLP

Date: Nov. 3, 2006

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U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

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Substitute for form 1449B/PTO		<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<b>Complete if Known</b>	
				Application Number	10/040,315
				Filing Date	October 29, 2001
				First Named Inventor	FARESE JR, Robert V.
				Art Unit	1652
				Examiner Name	HUTSON, Richard G.
				Attorney Docket Number	UCAL-105CIP2
Sheet	2	of	2		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		OELKERS et al., "Characterization of two human genes encoding acyl coenzyme A:cholesterol acyltransferase-related enzymes." Journal of Biological Chemistry, vol. 273, no. 41, October 1998 (1998-10), pages 26765-26771, XP002122746	
		DATABASE GENBANK [Online] 15 October 1998 (1998-10-15), OELKERS et al., "Homo sapiens ACAT related gene product 1 mRNA, complete CDs". Database Accession no. AF059202	
		DATABASE GENBANK [Online] 19 September 1997 (1997-09-19) NEWMAN et al., "24635 CE4-13 Arabidopsis Thaliana cDNA clone E6B2T7,RMA sequence. Database Accession no. AA042298	

Examiner Signature		Date Considered	
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